



High 9 risks  
 Medium 7 & 8 risks  
 Risks to be deleted from next 1/4 profile  
 Risk reduced from last 1/4 profile  
 New risks/Increased rating risks

Appendix A								
Risks and Action Plan Risk Identified	Risk Owner	Action Required to Address Risk	Target Date	Risk Category	Current position / progress	Status	Status	Current Rating
		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 03/11/2016	as at March 16	as at June 16	as at Sept 16
1	Potential Claims growth	Chief Executive	The Council has robust systems in place both to deal with claims when they happen and also to prevent, where possible, the circumstances where claims could arise. In doing so, the Council has in place policies and procedures designed to enhance safety at work and also to advise staff and others when driving or operating machinery. The Council checks, on a regular basis, that it is up to date on best practice in this area and that systems reflect changes in the local, national or international environments	Strategic	Risks reviewed and noted that this area is of growing significance with the number and value of claims increasing. Further actions reviewed. Consideration was given to potential control measures, but these are addressed by the existing further actions.	I = 3 L = 3 High 9	I = 3 L = 3 High 9	I = 3 L = 3 High 9

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2	Financial consequences of making unreasonable planning decisions	Regeneration and Development	Housing Clarification Statement preparation to assist members and officers in dealing with housing development proposals in advance of the Local Plan being adopted	Nov-16	Strategic	Completion of this action is delayed because of the priority now being given to undertaking the Local Plan Strategic Issues consultation and updating of the Strategic Housing Land Availability Assessment. Report to go to Planning Committee November 2016 - Member decisions at Planning Committee 24 May included 5 which were contrary to officer advice, at least one of which has been escalated to appeal resulting in costs being incurred to present the Council's case and a risk of further costs being awarded against the Council if the Inspector considers that the Council has behaved unreasonably. Total costs could be circa £75k.		I = 3 L = 2 Medium 6	I = 3 L = 3 High 9
3	Other work priorities taking over completion of the audit plan	Audit			Operational	Due to a number of commitments including the implementation of a new audit system, recommendation follow-ups, issues within the licensing section and a change in manager and staff responsibilities, the delivery of the audit plan has been delayed, however the service should revert to normal with adjustments being made to deliver the current plan for 2016/17		I = 3 L = 2 Medium 6	I = 3 L = 3 High 9

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		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 03/11/2016				
4	Failure to engage or consult with key stakeholders	Communication Strategy		Project	Consultation framework and toolkit in place and available for staff on the Intranet to assist with correct approach.	I = 2 L = 3 Medium 8	I = 2 L = 3 Medium 8	I = 2 L = 3 Medium 8	
5	Immigration issues - from countries affected by Civil unrest or wars	Strategic Housing	To support Staffordshire County Council (as lead organisation) and other local partners in responding to the Government's request for support in relocating Syrian Refugees.	Ongoing	Operational	Legal agreement being drafted to engage private landlords	I = 2 L = 3 Medium 8	I = 2 L = 3 Medium 8	I = 2 L = 3 Medium 8
6	Access to appropriate vehicles	Dog and Pest Control	Decision required to either replace vehicles or lease vehicles	Sep-16	Operational	At the Executive Management Team meeting on 13 September 2016 a decision was made to acquire the vehicles from the leasing company.	I = 3 L = 2 Medium 6	I = 3 L = 3 High 9	I = 2 L = 2 Medium 5
7	Income generation	Dog and Pest Control	Fees and charges review needed during 2016/17 for 2017/18 Agree future services and tariff with major client Agree future summer staffing needs and beyond to deliver maximum service, protect income and deal with demand in peak times	Sept - 16 to March 17	Operational	Reviewed likelihood that income would fall short of target and reduced likelihood from 3 to 2. Whilst income stream from Aspire Housing has reduced, staffing levels have also been reduced. Income compared to controllable (direct) costs is healthy.	I = 2 L = 2 Medium 5	I = 2 L = 3 Medium 8	I = 2 L = 2 Medium 5